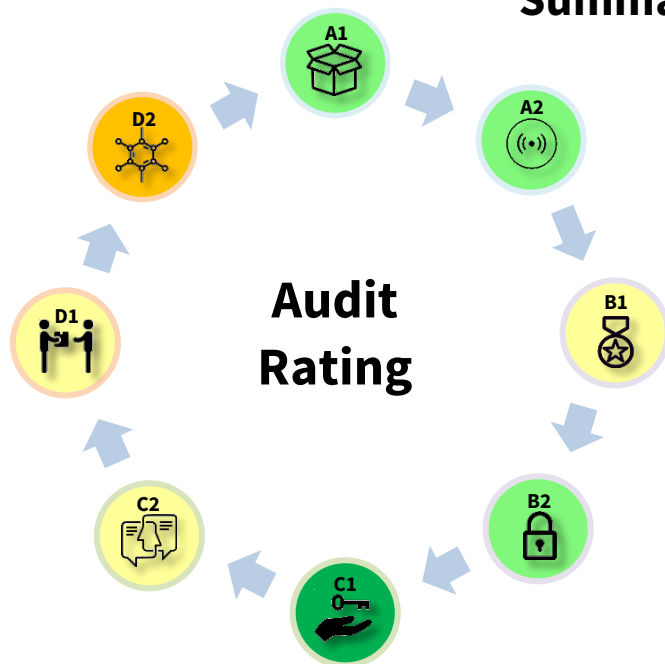




Historic England

Lake District HER Audit 2021 Summary



Audit Rating Key:

- No future action required, maintain as
- One or more actions required
- One or more substantial actions required
- A number of significant actions are required
- Significant action is required in most/all areas

A: Content and Coverage	
<p><i>Success and Achievement:</i> Dynamic content. Good record quality and record management. Forward Plan and indexed reference collection.</p> <p><i>Areas for Future Work:</i> Draft a Mission statement.</p>	<p><i>Success and Achievement:</i> Good Monument and Event coverage from a variety of sources. Inclusion of a range of other datasets providing comprehensive reference to the historic environment. Recording Policy.</p> <p><i>Areas for Future Work:</i> Explore more formal data sharing arrangements.</p>
B: Data Standards and Security	
<p><i>Success and Achievement:</i> MIDAS compliant. Detailed up-to-date Recording Manual. Up-to-date date FISH thesauri. Access and Charging Policy. Liaison with large scale projects.</p> <p><i>Areas for Future Work:</i> Investigate compliance with UK GDPR requirements and UK GEMINI and INSPIRE standard.</p>	<p><i>Success and Achievement:</i> Documented regular back-up procedures. Appropriately stored and managed reference collection. Staff trained in IT security procedures. Approved Data Management Statement and System Security Policy.</p> <p><i>Areas for Future Work:</i> Ensure data held is UK GDPR compliant.</p>
C: Access and Engagement	
<p><i>Success and Achievement:</i> HER accessible online. Good enquiry service and visitor access; accommodates needs of user regarding data format. Information Services Policy and updated Access and Charging Policy.</p> <p><i>Areas for Future Work:</i> Review Information Services Policy to consider UK GDPR requirements.</p>	<p><i>Success and Achievement:</i> Guidance issued to data providers. Staff participate in several networks and groups. HER reviews OASIS records.</p> <p><i>Areas for Future Work:</i> Gather feedback from users and stakeholders of the service. Undertake research into HER user groups. Publicise the HER more actively.</p>
D: Infrastructure (Service Delivery)	
<p><i>Success and Achievement:</i> HER is formally recognised by the host authority.</p> <p><i>Areas for Future Work:</i> Draft a Disaster, - Business Continuity, - and Succession Plan.</p>	<p><i>Success and Achievement:</i> Highly qualified and experienced staff, that is fully equipped and trained and has access to financial and administrative support.</p> <p><i>Areas for Future Work:</i> Increase staffing level. Introduce a Continuing Professional Development programme.</p>

For further details about Audit Outcomes A1, A2, B1, B2, C1, C2, D1 and D2 please see the HER Audit Specification.